The Performance of Paxos and Fast Paxos

Gustavo M. D. Vieira^{1*}, Luiz E. Buzato^{1†}

¹Instituto de Computação, Unicamp Caixa Postal 6176 13083-970 Campinas, São Paulo, Brasil

{gdvieira, buzato}@ic.unicamp.br

Abstract. Paxos and Fast Paxos are optimal consensus algorithms that are simple and elegant, while suitable for efficient implementation. In this paper, we compare the performance of both algorithms in failure-free and failure-prone runs using Treplica, a general replication toolkit that implements these algorithms in a modular and efficient manner. We have found that Paxos outperforms Fast Paxos for small number of replicas and that collisions are not the cause of this performance difference.

1. Introduction

The construction of highly available asynchronous systems is intrinsically linked to solutions to the problem of consensus, because this problem is equivalent to a very powerful communication primitive: total order broadcast [Chandra and Toueg 1996]. Among the consensus algorithms available, Paxos [Lamport 1998] and Fast Paxos [Lamport 2006] have recently been used to implement important systems [Chandra et al. 2007] for at least the following reasons: (i) they implement uniform consensus; (ii) they are simple and elegant; and (iii) they are efficient. In theory, the number of communication rounds and the message complexity required by Paxos and Fast Paxos to reach consensus should be the determinant factors of their expected performance [Prisco et al. 2000]. Fast Paxos, with smaller theoretical latency, should be faster and Paxos should be more resilient, by tolerating a larger number of failures. Fast Paxos reduces latency by being optimistic, that is, if the messages exchanged to reach consensus happen to be in a favorable order, then it is fast. This is the picture painted by theory. Practice can paint different pictures. Junqueira et al. [Junqueira et al. 2007] have pinpointed a scenario where Paxos shows a smaller overall consensus latency, if one of the communication steps is always much slower than the others. Their results serve well to illustrate that determining the practical performance of Fast Paxos and Paxos can be a challenging task whose answers depend on careful experimentation.

In this work, we address the challenge of assessing Paxos and Fast Paxos efficiencies in practice in a LAN setting. We decided to start our study in the LAN environment because it houses most of the applications requiring the use of Paxos [Chandra et al. 2007]. The evaluation presented here was only possible because we have programmed and tested both algorithms while building Treplica [Vieira and Buzato 2008b], a general replication toolkit that can be instrumented to generate the indicators necessary to assess the performance of these consensus algorithms. Our assessment method is based

^{*}Supported by CNPq grant 142638/2005-6.

[†]Supported by CNPq grant 201934/2007-8.

on looking at what the theory prescribes for the behaviour of the algorithms to design experiments that are intended to observe whether or not the prescribed behaviour occurs in practice. Examples of aspects assessed include number of messages ordered, latency of messages, quorum sizes and collisions. We have experimentally found, among other results, that Paxos outperforms Fast Paxos for small number of processes. Surprisingly, this isn't caused by unjustified optimism in Fast Paxos, but by the network and the extra load generated by the uncoordinated activities of its processes.

The remaining of the paper is organized as follows. Section 2 details the theoretical aspects of Paxos and Fast Paxos and the key differences between them. The prescriptions listed here were used as a guide for the design of the experiments. Section 3 describes our experimental setup, the experiments, and the results obtained. It also contains our assessment of the results and what they mean when contrasted with the theoretical predictions. We conclude the paper with a section on related work and a few concluding remarks.

2. Theory

Informally, the *consensus* problem consists in all processes in a distributed system proposing an initial value and all processes eventually deciding on the same value from the ones proposed. In this section we describe how Paxos and Fast Paxos solve consensus and we argue that there are many factors found in real systems that can affect these performance expectations.

2.1. Paxos and Fast Paxos

We give here a brief description of Paxos and Fast Paxos, to create a guide for the experiments. Full descriptions of both algorithms can be found in [Lamport 2006], including the computational and failure models assumed by them. Processes in the system are reactive agents that can perform multiple roles: a *proposer* that proposes values, an *acceptor* that chooses a single value, or a *learner* that learns what value has been chosen.

To solve consensus, Paxos agents execute multiple rounds, each round has a *co-ordinator* and is uniquely identified by a positive integer. Proposers send their proposed value to the coordinator that tries to reach consensus on it in a new round. The coordinator is responsible for that round and is able to decide, by applying a local rule, if previous rounds were successful or not. The local rule of the coordinator is based on quorums of acceptors and requires that at least $\lfloor N/2 \rfloor + 1$ processes take part in a round, where N is the total number of processes in the system [Lamport 2006, Vieira and Buzato 2008a]. Each round progresses through two phases with two steps each:

- In Phase 1a the coordinator sends a message requesting every acceptor to participate in round i. An acceptor accepts the invitation if it has not already accepted to participate in round $j \ge i$, otherwise it declines the invitation by simply ignoring it.
- In Phase 1b every acceptor that has accepted the invitation answers to the coordinator with a reply that contains the round number and the value of the last vote it has cast for a value, or *null* if it has not voted.
- In Phase 2a, if the coordinator of round *i* has received answers from a quorum of acceptors then it executes its local rule on the set of values suggested by acceptors

in Phase 1b and picks a single value v. It then asks the acceptors to cast a vote for v in round i, if v is not *null*, otherwise the coordinator is free to pick any value and picks the value proposed by the proposer.

- In Phase 2b, after receiving a request to cast a vote from the coordinator, acceptors can either cast a vote for v in round i, if they have not voted in any round j ≥ i, otherwise, they ignore the vote request. Votes are cast by sending them together with the round identifier to the learners.
- Finally, a learner learns that a value v has been chosen if, for some round i, it receives Phase 2b messages from a quorum of acceptors announcing that they have all voted for v in round i.

Fast Paxos changes Paxos by allowing the proposers to send proposed values directly to the acceptors. To achieve this, rounds are separated in *fast* rounds and *classic* rounds. Fast and classic rounds have different quorums with properties such that the local rule of the coordinator is still able to detect if a previous round was successful. These quorums are larger than the ones used by Paxos and can assume many values that satisfy the requirements of the local rule. In particular, it is possible to minimize the number of processes in a fast quorum ensuring that both a fast and classic quorums contain $\lfloor 2N/3 \rfloor + 1$ processes. Another option is to minimize the number of processes in classic quorums requiring the same number of processes as in Paxos ($\lfloor N/2 \rfloor + 1$) but requiring $\lceil 3N/4 \rceil$ processes in the fast quorums [Lamport 2006, Vieira and Buzato 2008a]. A Fast Paxos round progresses similarly to a Paxos round, except that Phase 2 is changed:

- In Phase 2a, if the coordinator of round *i* has received answers from a quorum of acceptors then it executes its local rule on the set of values suggested by acceptors in Phase 1b and picks a single value *v*. It then asks the acceptors to cast a vote for *v* in round *i*, if *v* is not *null*, otherwise, if *i* is a fast round the coordinator sends a *any* message to the proposers indicating that any value can be chosen in round *i*. In this case, the proposers can ask the acceptors directly to cast a vote for a value *v* of their choice in round *i*.
- In Phase 2b, after receiving a request to cast a vote from the coordinator (if the round is classic) or from one of the proposers (if the round is fast), acceptors can either cast a vote for v in round i, if they have not voted in any round $j \ge i$, otherwise, they ignore the vote request.

The above description of both algorithms considers only a single instance of consensus. However, these algorithms are more commonly used to deliver a set of totally ordered messages, where a sequence of repeated instance of consensus maps to a predefined position in the message ordering. In this case, it is possible to run Phase 1 and Phase 2a only once for all still unused instances. This factorization of phases is carried out immediately after the election of a coordinator. At this point, most of the consensus instances have not been started yet, allowing the coordinator in Paxos to "save" these instances for future use or, in Fast Paxos, allowing it to send Phase 2a *any* messages.

The improvement brought about by this factorization allows Paxos to achieve consensus in three communication rounds and Fast Paxos in only two communication rounds. Moreover, in Fast Paxos once the coordinator sends the *any* messages, consensus can be reached without the need of further coordinator intervention. Unfortunately, Fast Paxos cannot always be fast. Proposers can propose two different values concurrently, in this case their proposals may collide. Also, process and communication failures may block a round from succeeding. Different recovery mechanisms can be implemented to deal with collisions and failures, but eventually the coordinator intervention may be necessary to start a new classic round [Lamport 2006]. In both algorithms, any process can act as the coordinator as long as it follows the rule for choosing a value, if any, that is proposed in Phase 2a. The choice of coordinator and the decision to start a new round of consensus are made relying in some timeout mechanism, as both Paxos and Fast Paxos assume a partially synchronous computational model to ensure liveness.

2.2. Performance Expectations

Before discussing the performance characteristics of Paxos and Fast Paxos experimentally, it is useful to map the theoretical notion of broadcast onto the actual primitive available in the experimental setup: high speed wired local area networks (LAN). The technology most often used to implement these LANs is Ethernet, in one of its several variations. Because of this heritage, it is commonly assumed that LANs use some sort of shared medium that must be collectively managed by the stations connected to the network. As a consequence, LANs messages can be broadcast to all stations with the same latency of sending a single message and, due to the shared nature of the medium, only one of such broadcasts can happen at the same time. This characteristic is very desirable, specially for optimistic algorithms such as Fast Paxos. However, not all variants of Ethernet work through a shared medium. In particular, 100Mbps and 1Gbps Ethernet are usually implemented with a full-duplex dedicated twisted-pair link connecting each station to a central switch in a star topology. In these networks communication is centrally arbitrated by the switch and there is no need for stations to manage access to the medium. This setup has many advantages to point to point communication, including full-duplex communication at full speed and a maximum aggregated bandwidth larger the individual bandwidth of any link. Broadcast is still available, but it is not as straightforward as it was in the shared medium case. In these networks broadcast is just a single message multiplied by the switch and put in the dedicated medium of each station. As such, every one of these messages traverses a different queue and can potentially be ordered differently from other concurrent broadcasts and unicasts. Moreover, it is not uncommon for IP stacks to deliver locally a broadcast message even before it reaches the network interface.

Within this environment, what are the main differences between Paxos and Fast Paxos concerning the *expected* performance of both algorithms? Paxos requires 3 communication rounds for each instance of consensus while Fast Paxos needs only 2 communication rounds. Moreover, Fast Paxos doesn't require the active participation of a single process, the coordinator, in all instances of consensus. However, Fast Paxos requires the participation of a larger number of active processes than Paxos and the performance advantage of Fast Paxos is only realized in the optimistic case where there is no conflict. Considering these properties, it might be tempting to conclude that as long as the optimistic ordering of messages expected by Fast Paxos holds this algorithm has the performance advantage. For each of the potential advantages of each algorithm we list now some reasons why this isn't necessary true:

Communication rounds: The main claim for the theoretical performance of Fast Paxos is that two communication rounds are better than three. However, both Paxos and

Fast Paxos contain a communication step where all processes in a quorum broadcast a message at the same time. No matter how efficient the switch is, all these broadcasts will have to be serialized as they are transferred to all destination ports and they will be received as k individual messages. In this case, we can conceivably fold in a communication round all processing latency, but the propagation and transmission latency must be counted individually. That is, communication *complexity* is important.

- **Single coordinator:** All Paxos messages must be relayed through a single coordinator. Although this process isn't a single point of failure, it is a potential performance choke point. Fast Paxos might perform better if load on the coordinator is high, but the centralizing nature of the coordinator can act as more robust way to decide on an order for the messages than relying on chance.
- **Larger quorums:** Fast Paxos requires larger quorums and this has the direct consequence that the algorithm tolerates less process failures. Depending on the selection of quorums Fast Paxos can revert to Paxos quorums $(\lfloor N/2 \rfloor + 1)$ if consensus is not optimistically reached, but this requires even larger quorums for the optimistic case. This fact has performance implications. Larger quorums require more messages to be successfully and timely delivered for consensus to be reached, making Fast Paxos vulnerable to network overload and timing violations.
- **Collisions:** Fast Paxos is optimistic. It succeeds in two communication rounds as long as messages are naturally ordered. But, in switched LANs broadcasts are implemented as many messages send to each station, not necessarily ordered. If only a majority of these messages are ordered, consensus will be reached but will require more messages to be timely received. If not even a majority of messages is ordered, a collision occurred and consensus is not possible. There is nothing in the network that orders messages. If they arrive ordered it is more likely that they were not sent concurrently in the first place, thus collisions increase as the message rate increases [Pedone and Schiper 2003].

Observing the uncertainties related to each supposed advantage of Fast Paxos, it is possible to reach the conclusion that these two algorithms are basically incomparable without a clear characterization of the network properties. In the next section we present a set of experiments designed to extract data on this characterization for our target high speed local networks.

3. Practice

This section presents the basic organization of Treplica and where Paxos and Fast Paxos where used in the toolkit. Here, we also present the experiments we have carried out to assess Fast Paxos and Paxos, their results, and what they indicate in relation to the expected behaviour indicated by theory.

3.1. Treplica

Treplica is a replication toolkit that simplifies the development of high-available applications by making transparent the complexities of dealing with replication and persistence. We present here the basic organization of Treplica and where Paxos and Fast Paxos fit in the toolkit. Additional information on Treplica can be found in [Vieira and Buzato 2008b]. Treplica supports the construction of highly available applications through either the asynchronous persistent queue or the state machine programming interfaces. A queue is a *totally ordered* collection of objects with the usual *enqueue* and *dequeue* operations. Persistence guarantees that a process can crash, recover and bind again to its queue, certain that the queue has preserved its state and that it has not missed any additional enqueues made by any active replicas. An asynchronous persistent queue maintains a history of the objects it has ever held since its creation. Thus, by relying on the total order guaranteed by the queue and in the fact that queues are persistent, individual processes can become active replicas while remaining stateless; the persistence of their state has been delegated to the queue. The state machine programming interface leverages the persistent queues to provide a simple abstraction of an object that only changes state through deterministic command objects. To use this abstraction, applications must adhere to the state machine approach [Lamport 1978, Schneider 1990].

To provide these two programming abstractions and still be able to provide reasonable performance, Treplica uses a *uniform* total order delivery mechanism built on top of Paxos. The uniformity of the consensus component is fundamental to the efficiency of Treplica. Usually, uniform consensus algorithms are more expensive than non-uniform consensus algorithms [Défago et al. 2004], however the higher price paid by such algorithms simplify tremendously the task of synchronizing persistent data local to the replica, specially in the case of failure. It also allows for a natural way to aggregate the local stable storage of each replica in a global persistent store, without requiring any single replica to assume special duties. In Treplica the *ledger* abstraction of Paxos is the central data structure of the whole toolkit. As a consequence, there is just a thin software layer between the application and the Paxos implementation. Thus, Treplica doesn't add much overhead to the algorithm and our performance data is very close to a "pure" Paxos implementation.

However, there are two factors that characterize and separates the data obtained with Treplica from other Paxos implementations: state machine execution and operation parallelism. First, we made our experiments using the state machine abstraction of Treplica, so our response times are not equivalent to the consensus latency, but operation execution latency. This means that, on top of the consensus latency, we have to add the processing time required to apply the command object to the local replica. As described in the next section, we selected an application such as to minimize this cost, but nevertheless this latency is present. Second, as the state machine abstraction requires sequential execution of command objects, we must employ parallelism internally in Treplica to avoid the critical path comprised by the Paxos ordering and command execution to became a bottleneck. Thus, we try as much as possible to pack many command objects in the same Paxos message, without adding to the overall latency. This way, a multithreaded application can obtain a higher throughput but the final response time deviates further from the basic consensus latency. As our objective is to relatively compare Paxos and Fast Paxos, these effects can be factored out as they affect both implementations equally. Moreover, both Paxos and Fast Paxos are implemented by the same code inside Treplica, actually a Fast Paxos implementation that can be configured to generate only classic rounds, behaving exactly like Paxos. Thus, all implementation details are shared by the two algorithms and the comparison obtained is as fair as possible.

3.2. Experimental Setup

The experiments were carried out in a cluster with 18 nodes interconnected through the same 1Gbps Ethernet switch. Each node has two Intel Xeon 2.4GHz processors, 1GB of RAM, and a 40GB disk (7200 rpm). System software in each node include Fedora Linux 9 and OpenJDK Java 1.6.0 virtual machine. We used 4 to 16 nodes in our experiments and each node operated as a server replica and as a load generator.

The server replicas run a simple replicated hash table. The application is a wrapper over the standard Java hash table implementation, with the same API, but adding replication and persistence support through Treplica. As such, only operations that change the internal state of the hash table employ Treplica, the read only operations are executed directly. Treplica is configured in the server replicas to use local disk as its persistent data store, and no network activity is expected of each node beyond the one generated by Treplica.

The load generation consists in a sequence of put operations, where each operation associates a sequential integer with a random 5 character string. It would be possible to interleave read with writes in our load, creating distinct usage profiles. However, due to the simplicity of the application, this probably would only increase the observed performance by the proportion of reads used as they are orders of magnitude cheaper than writes. Thus, we decided to concentrate on a load composed only of hash table writes to analyze the data as if Treplica were the only possible bottleneck. The generated load is measured in operations per second (op/s) and is generated with a fixed rate divided equally among all the load generators of the system. Server replicas and load generators share the same hosts, but care was taken to ensure that the load generation wasn't competing with the application processing and that the specified load rate was being generated.

3.3. Experiments

Based on the performance expectations of Paxos and Fast Paxos listed in Section 2.2 we devised five experiments and four metrics to compare both algorithms:

- **Scale up:** For a fixed generated load of 2000 op/s we increase the scale of the system from 4 to 16 replicas. For each point we count the load served in op/s and the average response time for each operation.
- **Speed up:** For a fixed number of 4 and 8 replicas we increase the generated load from 100 op/s to 4000 op/s. For each point we count the load served in op/s and the average response time for each operation.
- Quorum size: We perform the scale up and the 8 replicas speed up experiments with a modified version of Paxos that uses a larger quorum than necessary $(\lfloor 2N/3 \rfloor + 1)$. We count the load served in op/s.
- **Retries and collisions:** We extract the number of failed consensus instances and collisions from the scale up and the 8 replicas speed up experiments. We count the number of failed consensus rounds and the number of collisions per total consensus rounds.
- **Failures:** For a fixed number of 8 replicas and a fixed load of 2000 op/s we simulate the failure of a non-coordinator replica or a coordinator replica. We count the load served in op/s.

We run all experiments with Paxos, Fast Paxos with large fast quorums ($\lceil 3N/4 \rceil$) and Fast Paxos with small fast quorums ($\lfloor 2N/3 \rfloor + 1$). The scale up and speed up experiments were intended to give a general performance evaluation, and can be used to assess if the smaller number of communication rounds required by Fast Paxos and the fact that this algorithm doesn't have a single performance bottleneck make it more efficient. The quorum size experiment allows us to measure the cost of waiting and processing a larger number of messages to achieve consensus, indicating if the larger quorums required by Fast Paxos are acceptable. The retries and collisions experiment will show the number of retried consensus instances, an indication of the number of lost messages and timing failures that can be used to quantify the cost of larger quorums and of a single coordinator. This experiment also shows the proportion of collisions found in the other experiments to make explicit the cost that Fast Paxos pays for being optimistic. The failures experiment shows how both algorithms handle failures and if a single coordinator can negatively affect the performance of Paxos in case of failure.

3.4. Scale Up

Figure 1 shows the data for the scale up experiment with a constant load of 2000 op/s, including standard deviation as error bars. The chart on the left shows the served operations per second as a function of the number of replicas in the system. The chart on the right shows the response time for the same points.

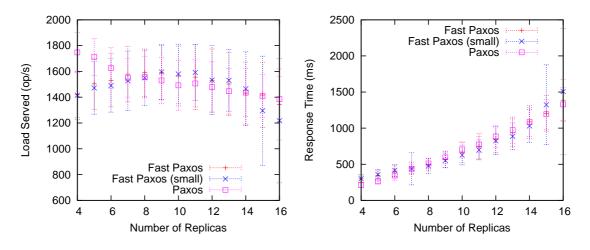
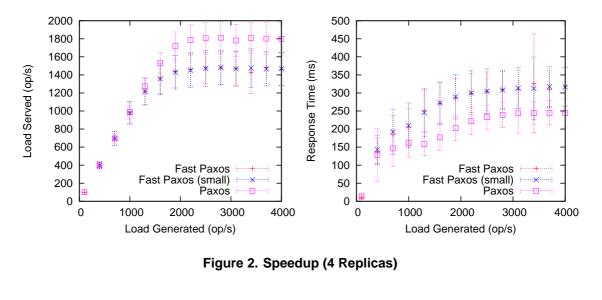


Figure 1. Scale up (2000 op/s)

The most striking observation from this experiment is that Paxos outperforms Fast Paxos for small replica numbers. Up until 7 replicas Paxos is better, and with more than 7 replicas both are roughly the same. Many factors can justify this behavior, as pointed in Section 2.2, but we believe it is caused by the stabilizing effect the single coordinator creates in the system, reducing timing violations. To fully justify this supposition we need to analyze the data from the quorum size and retries experiments. Another interesting behavior is the fact that Fast Paxos increases its performance up to a maximum at about 9 replicas. Again, we believe this effect is related to timing violations and we justify it using the data for the retries experiments. Both variants of Fast Paxos fare similarly in all replica configurations, with a slight advantage for the large quorums version. This indicates that the quorum size have a role in the performance of the algorithms but it isn't a very important one. Once more, this explanation will be verified by the quorum size experiment data. Average response time grows with the number of replicas and all algorithms tested have roughly similar numbers. This is mostly a consequence of the fact that many operations are being ordered in the same Paxos instance and that the load generated is dependent on the load served.

3.5. Speed Up

Figure 2 and Figure 3 show data for the speed up experiment for 4 and 8 replicas, respectively, including standard deviation as error bars. In both figures, the chart on the left shows the served operations per second as a function of the rate of generated operations per second. The chart on the right shows the response time for the same points.



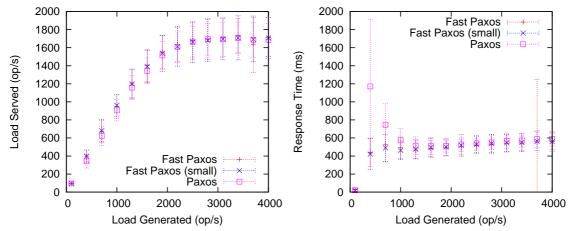


Figure 3. Speedup (8 Replicas)

For both 4 and 8 servers the increasing tendency of served operations is similar. The served load rises linearly, following the generated load, up until a peak point where it stabilizes. This was expected and shows that the performance difference among the algorithms, when present, only shows after the peak load is reached. Before that point Paxos and Fast Paxos should behave the same way, only trading places as the number of replica

increases as shown in the scale up experiment. The latency charts are more interesting. Latency also rises to reach a plateau, but much faster in the case of 4 replicas and even surpassing it in the 8 replicas case. This is explained by the fact that many operations are bundled in the same consensus instance, and such instances are fairly costly. In our data sets a little more than 150 consensus instances are completed per second in the best case. Thus, when the load is light a less aggressive bundling takes place and latency suffers. This is a property of our implementation and not necessarily will be found in other environments.

3.6. Quorum Sizes

To test the effect of quorum sizes we run the scale up and 8 replicas speed up experiments using a modified version of Paxos that uses quorums of $\lfloor 2N/3 \rfloor + 1$ replicas and compare it with regular Paxos. Figure 4 shows the data obtained, including standard deviation as error bars.

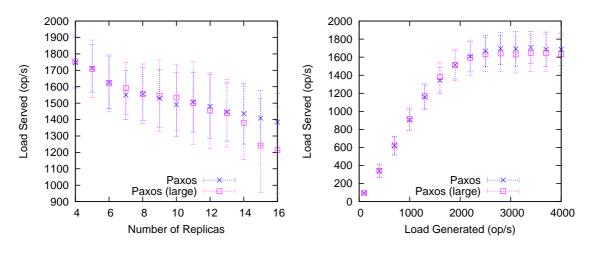


Figure 4. Paxos with Large Quorums

Data from this experiment confirms that quorum sizes aren't a relevant factor for performance when the number of replicas is moderate (less than 15). This is also true for the scale up experiment and the two variants of Fast Paxos. Two factors justify this finding. First, with the total number of replicas in the 4 to 15 range, the absolute difference in the cardinality of quorums is very small, two replicas at most. Second, timing violations are more probable if a learner has to receive a message from more processes. This second hypothesis is confirmed by the data collected on consensus rounds retries presented next.

3.7. Retries and Collisions

Figure 5 shows the number of retried consensus instances for Paxos and Fast Paxos and the number of collisions for Fast Paxos observed in the scale up and 8 replicas speed up experiments. Both numbers are presented as relative values to the total number of consensus instances executed.

This experiment produced vital information about the performance of Paxos and Fast Paxos. The optimism of Fast Paxos could be considered its weak spot and could justify its inferior performance with fewer processes. However, our data shows that collisions do occur but they are responsible for only a small percentage of the retried consensus

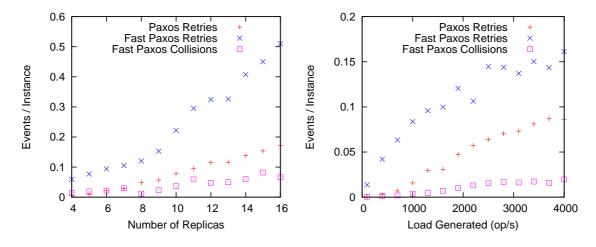


Figure 5. Retries and Collisions

instances of Fast Paxos. Lost messages or, more likely, timing violations are responsible for the most part of consensus failures. Each consensus failure triggers a regular Paxos consensus round, even for Fast Paxos, and this round is costly as it must execute all 2 phases of the algorithm. The number of failed consensus attempts in Fast Paxos is sometimes 3 times larger than in Paxos and can account for the decreased performance. The cause of these timing violations is probably the fact that timeouts in Fast Paxos are managed by all replicas at the same time. Any replica that believes a consensus round should have been finished alerts the coordinator that in turn starts a full Paxos round, thus we multiply the possibility of a timing violation by the number of replicas in the system. In Paxos, only the coordinator decides when a round must be retried. It may not be more accurate, but the possibility of timing failure is smaller. Moreover, even when a conflict does not arise in a Fast Paxos round, it may be possible for the processes in the system to observe a "partial conflict" where some, but less than a majority, of replicas vote for a different operation. In this case, more messages must be timely received for the consensus to be reached, increasing the chance for timing violations. While this accounts for Fast Paxos limitations, it is still necessary to explain why Paxos loses its advantage at about 8 replicas. The first cause is that the single coordinator only acts as a stabilizing factor as long as it is not overloaded. As soon as the coordinator gets overloaded it starts dropping messages and prematurely restarting consensus rounds.

3.8. Failures

Figure 6 shows one execution with 8 replicas and load of 2000 op/s that suffers the failure of a single replica. The failure is simulated by killing the replica at the operating system level and by immediately re-instantiating it back in operation. The charts in the left show the failure of a regular replica and the charts in the right show the failure of the coordinator replica. In all charts the first vertical bar shows the moment when the replica is forcibly shutdown and the second bar shows the moment when the replica finishes its *local* recovery and starts to coordinate with the other replicas.

In both cases it is possible to notice that failure itself doesn't impact the throughput of the system. This is reasonable considering the data from the scale up experiment; less replicas can potentially give more performance. The interesting observations is that it

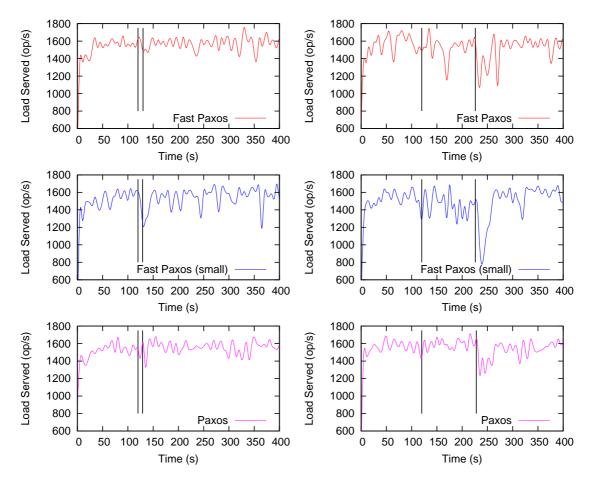


Figure 6. Single Failure (8 replicas, 2000 op/s)

is the replica reintegration that negatively affects the throughput of the system. When a replica finishes its local recovery it has only learnt the operations up to the moment of its failure, and must catch up with the others replicas. This process puts demand on the network and on the coordinator as all missed decisions are relayed to the recovering replica. Another intriguing aspect is the large difference in local recovery times between a normal replica and a coordinator replica. Due to the observed fast local recovery, a normal replica easily reintegrates in the system and only creates minimal disruption. The failure of the coordinator replica isn't felt any differently by the system, as a new coordinator is promptly elected, but the local recovery of the coordinator replica takes a longer time. This happens due to the larger state held in memory by the coordinator, that requires more information to be brought back from disk on recovery. As a very damaging side effect, the longer a replica stays out of the computation for any reason, the longer its reintegration will take and larger the disruption caused by it will be. Finally, all tested algorithms displayed a very similar behavior under failures, even when the coordinator has failed. This indicates that the coordinator only affects the performance of Paxos as a bottleneck in the steady state. In the presence of failures, coordinator election is performed without interrupting the operations flow.

Paxos and Fast Paxos are well understood algorithms, but until recently, seldom implemented. A very clear and concise description of both algorithms can be found in [Lamport 2006]. The theoretical performance of Paxos is described in detail in [Prisco et al. 2000]. Probably due to the lack of actual implementations, one of the first works to delve in the Paxos performance employed simulation [Urbán et al. 2004], and compared Paxos to Chandra-Toueg rotating coordinator consensus algorithm [Chandra and Toueg 1996].

Recently, motivated by the need of dependable coordination services for scalable distributed systems, Paxos implementations are becoming more common and works analysing their performance are being published. The Chubby system used at Google is described in [Chandra et al. 2007], with a some basic performance figures. A detailed description of a Paxos implementation encompassing all aspects of a complete state machine replication system can be found in [Amir and Kirsch 2008]. In this work it is presented a fairly complete study of the performance of the described implementation under different state machine replication suppositions. A description of a variant of the Paxos algorithm optimized for the implementation of a distributed lock management system and an analysis of its performance can be found in [Hupfeld et al. 2008].

All of the above cited works evaluate only Paxos. The only work we have knowledge of that attempts to quantitatively compare Paxos and Fast Paxos is [Junqueira et al. 2007]. This work employs simulation to study a particular configuration where Fast Paxos doesn't have a better consensus latency than Paxos. Restricted as the studied configuration might be, this work showed for the first time that increased latencies of individual messages can drastically change the behavior of Paxos and Fast Paxos.

5. Conclusion

We have presented a comparative analysis of the performance of Paxos and Fast Paxos in the context of high speed local area networks. We have discovered scenarios where Paxos has lower latency than Fast Paxos and we showed evidence of the cause of such behavior. To the best of our knowledge this is the first such comparison.

Our experimental data indicates that Paxos is faster for a small set of replicas and owns its performance to the stability provided by its single coordinator. The Paxos coordinator makes fewer timeout mistakes, needs to retry consensus rounds less often and is immune to collisions, however it can be overloaded by a large number of replicas. Fast Paxos suffers from timing failures and lost messages, but its lack of reliance on a single coordinator allows it to operate more efficiently with more replicas. We have also discovered that quorum sizes and collisions aren't very determinant in the relative performance of these algorithms and that the single coordinator of Paxos isn't particularly affected by failures.

As replication is used as a device for fault tolerance, the fact that Fast Paxos is more effective with a larger number of replicas is effectively cancelled by the fact that it requires larger quorums of active replicas to function. For example, a system using Paxos needs 7 replicas to tolerate 3 replica failures while Fast Paxos requires 12 replicas to guarantee the same resilience. Thus, unless Fast Paxos can be made more efficient in its use of the available network, avoiding the timing failures observed, its use is hardly justified.

References

- Amir, Y. and Kirsch, J. (2008). Paxos for system builders. In *LADIS '08: Proceedings of Large-Scale Distributed Systems and Middleware*, New York.
- Chandra, T. D., Griesemer, R., and Redstone, J. (2007). Paxos made live: an engineering perspective. In PODC '07: Proceedings of the twenty-sixth annual ACM symposium on Principles of distributed computing, pages 398–407, New York, NY, USA. ACM Press.
- Chandra, T. D. and Toueg, S. (1996). Unreliable failure detectors for reliable distributed systems. *J. ACM*, 43(2):225–267.
- Défago, X., Schiper, A., and Urbán, P. (2004). Total order broadcast and multicast algorithms: Taxonomy and survey. *ACM Comput. Surv.*, 36(4):372–421.
- Hupfeld, F., Kolbeck, B., Stender, J., Högqvist, M., Cortes, T., Marti, J., and Malo, J. (2008). FaTLease: scalable fault-tolerant lease negotiation with paxos. In *HPDC '08: Proceedings of the 17th international symposium on High performance distributed computing*, pages 1–10, New York, NY, USA. ACM.
- Junqueira, F., Mao, Y., and Marzullo, K. (2007). Classic paxos vs. fast paxos: caveat emptor. In *HotDep'07: Proceedings of the 3rd workshop on on Hot Topics in System Dependability*, page 18, Berkeley, CA, USA. USENIX Association.
- Lamport, L. (1978). Time, clocks, and the ordering of events in a distributed system. *Commun. ACM*, 21(7):558–565.
- Lamport, L. (1998). The part-time parliament. ACM Trans. Comput. Syst., 16(2):133–169.
- Lamport, L. (2006). Fast Paxos. Distrib. Comput., 19(2):79-103.
- Pedone, F. and Schiper, A. (2003). Optimistic atomic broadcast: a pragmatic viewpoint. *Theor. Comput. Sci.*, 291(1):79–101.
- Prisco, R. D., Lampson, B., and Lynch, N. (2000). Revisiting the PAXOS algorithm. *Theoretical Computer Science*, 243(1-2):35–91.
- Schneider, F. B. (1990). Implementing fault-tolerant services using the state machine approach: a tutorial. *ACM Comput. Surv.*, 22(4):299–319.
- Urbán, P., Hayashibara, N., Schiper, A., and Katayama, T. (2004). Performance comparison of a rotating coordinator and a leader based consensus algorithm. In SRDS '04: Proceedings of the 23rd IEEE International Symposium on Reliable Distributed Systems, pages 4–17, Washington, DC, USA. IEEE Computer Society.
- Vieira, G. M. D. and Buzato, L. E. (2008a). On the coordinator's rule for Fast Paxos. *Information Processing Letters*, 107:183–187.
- Vieira, G. M. D. and Buzato, L. E. (2008b). Treplica: Ubiquitous replication. In SBRC '08: Proc. of the 26th Brazilian Symposium on Computer Networks and Distributed Systems, Rio de Janeiro, Brasil.